

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: AXA France VIE- India Reinsurance Branch

Registration Number: FRB/009

Statement as on: 30-09-2021

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

Periodicity of Submission: Quarterly



(Rs. in Lakhs)

Section I	No	PARTICULARS	SCH ++	AMOUNT
	1	Investments (Shareholders)	8	101,759.12
		Investments (Policyholders)	8A	33,578.66
	2	Loans	9	-
	3	Fixed Assets	10	62.66
	4	Current Assets		
		a. Cash & Bank Balance	11	1,709.08
		b. Advances & Other Assets	12	92,791.82
	5	Current Liabilities		
		a. Current Liabilities	13	(111,515.94)
		b. Provisions	14	(8,924.75)
		c. Misc. Exp not Written Off	15	-
		d. Debit Balance of P&L A/c		-
		<b>Application of Funds as per Balance Sheet (A)</b>		<b>109,460.64</b>
		<b>Less: Other Assets</b>	<b>SCH ++</b>	<b>Amount</b>
	1	Loans (if any)	9	-
	2	Fixed Assets (if any)	10	62.66
	3	Cash & Bank Balance (if any)	11	1,709.08
	4	Advances & Other Assets (if any)	12	92,791.82
	5	Current Liabilities	13	(111,515.94)
	6	Provisions	14	(8,924.75)
	7	Misc. Exp not Written Off	15	-
	8	Investments held outside India		-
	9	Debit Balance of P&L A/c		-
		<b>Total (B)</b>		<b>(25,877.13)</b>
		<b>'Investment Assets'</b>	<b>(A-B)</b>	<b>135,337.77</b>

Section II	No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
				Balance	FRSM*						
				(a)	(b)						
	1	Central Govt. Securities	Not less than 20%	-	71,201.98	23,495.35	94,697.33	69.97%	-	94,697.33	95,586.04
	2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	75,155.16	24,799.83	99,954.99	73.86%	-	99,954.99	100,875.24
	3	<b>Investment subject to Exposure Norms</b>									
		a. Housing / Infra & Loans to SG for Housing and FFE									
		1. Approved Investments	Not less than 15%	-	17,867.00	5,895.78	23,762.78	17.56%	-	23,762.78	24,094.45
		2. Other Investments		-	-	-	-	0.00%	-	-	-
		b. Approved Investments	Not exceeding 55%	-	8,736.96	2,883.04	11,620.00	8.59%	-	11,620.00	11,620.00
		c. Other Investments		-	-	-	-	0.00%	-	-	-
		<b>Investment Assets</b>	<b>100%</b>	<b>-</b>	<b>101,759.12</b>	<b>33,578.66</b>	<b>135,337.77</b>	<b>100.00%</b>	<b>-</b>	<b>135,337.77</b>	<b>136,589.69</b>

Note:

- (+) FRSM refers 'Funds representing Solvency Margin'
- Other Investments' are as permitted under 27A(2)
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
- Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: AXA France VIE- India Reinsurance Branch

Registration Number: FRB/009

Statement as on: 30-09-2021

Statement of Accretion of Assets

(Rs. Lakhs)

(Business within India)

Periodicity of Submission : Quarterly

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities	CGSB	94,994.65	70.60%	(297.32)	-38.01%	94,697.33	69.97%
2	Central Govt Sec, State Govt Sec or Other Approved	CGSB	100,269.18	74.52%	(314.19)	-40.17%	99,954.99	73.86%
3	<b>Investment subject to Exposure Norms</b>							
	a. Housing & Loans to SG for Housing and FFE							
	1. Approved Investments	HTDN	12,240.86	9.10%	(25.63)	-3.28%	12,215.23	9.03%
	2. Other Investments		-	0.00%	-	0.00%	-	-
	b. Infrastructure Investments							
	1. Approved Investments	IPTD	12,045.51	8.95%	(497.96)	-63.66%	11,547.55	8.53%
	2. Other Investments		-	0.00%	-	0.00%	-	-
	c. Approved Investments	ECDB	10,000.00	7.43%	1,620.00	207.10%	11,620.00	8.59%
	d. Other Investments (not exceeding 15%)		-	0.00%	-	0.00%	-	-
	<b>Total</b>		<b>134,555.55</b>	<b>100.00%</b>	<b>782.23</b>	<b>100.00%</b>	<b>135,337.77</b>	<b>100%</b>

Note:

- Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
- Investment Regulations, as amended from time to time, to be referred